

## Appointments

**Assistant Professor** in Electrical & Computer Engineering  
University of Utah

*Sept. 2018 - Present*  
*Salt Lake City, UT, USA*

**NSERC Postdoc Fellow** in Energy & Resources Group  
University of California, Berkeley

*Sept. 2016 - Sept. 2018*  
*Berkeley, CA, USA*

## Education

**Ph.D.** in Mechanical Engineering  
University of Victoria

*Apr. 2016*  
*Victoria, BC, Canada*

– Dissertation: “Modeling and Control of Controllable Electric Loads in Smart Grid”

**M.A.Sc.** in Mechanical Engineering  
University of Victoria

*Jun. 2012*  
*Victoria, BC, Canada*

– Thesis: “Energy efficient operation strategy design for the combined cooling, heating, and power system”

**Exchange** in Power Mechanical Engineering  
National Tsing Hua University

*Sept. 2008 - Jan. 2009*  
*Hsinchu, Taiwan*

– Project: “Fast algorithm for differential image rebuilding”

**B.Eng.** in Control Science & Engineering  
Harbin Institute of Technology

*Jun. 2010*  
*Harbin, China*

– Thesis: “ZigBee wireless location technology-based 3D real-time simulation”

## Research Interests

- Power and Energy Systems
  - ▷ Dynamic modeling, system identification, time-series analysis, forecasting, and control of renewable distributed energy resources for grid integration
  - ▷ Harmonious vehicle-grid integration for the provision of distribution- and transmission-level services and benefits
  - ▷ Cyber-physical security in smart grid and decentralized power system
  - ▷ Intelligent integrated energy management system design and control for smart buildings and microgrids
  - ▷ High-performance and robust energy storage system development
  - ▷ Pathway to a reliable integrated-decentralized power system
- Optimization and Control
  - ▷ Efficient decentralized and distributed optimization for problems with massive data sets

- ▷ Control theory including optimal control, robust control, and model predictive control (MPC) in both stochastic and deterministic fashions
- ▷ Filtering and control for networked control systems
- Cyber-Physical Systems (CPSs)
  - ▷ Deployment of Internet of Things (IoT) technologies in the context of smart city
  - ▷ Impact-aware proactive cyber defense system
  - ▷ Security-aware resilient controller
  - ▷ Modularization of CPSs in complex environment

## Research Experience

- **University of California, Berkeley** Berkeley, CA, USA  
*NSERC Postdoc Fellow, Energy & Resources Group* *Sept. 2016 – present*
  - ▷ Smart charging control for a large population of electric vehicles (EVs) providing demand response and facilitating renewable energy integration (**PI:** Key State Laboratory of Alternate Electrical Power System with Renewable Energy Sources Open Project LAPS17006)
    - Development of a decentralized optimal EV charging control framework considering uncertainties from both human and nonhuman factors
    - Decentralized EV charging control framework for the purpose of mitigating the intermittency of renewable energy generation (in progress)
    - Energy neutral building (ENB) realization by coordinated charging of EVs (in progress)
  - ▷ An open source architecture software platform for plug-in EV smart charging in California residential and small commercial settings (**Leader of Control Group:** California Energy Commission Award EPC 15-013)
    - Initiative development of a novel and generic shrunken-primal-dual subgradient (SPDS) algorithm for optimization problems with non-separable objective functions, and globally and locally coupled constraints
    - Establishment of a decentralized EV charging control framework under distribution network constraints including nodal voltage magnitudes and transformer overloading; Framework tested on IEEE 13 bus test feeder and PG&E D0001 feeder
    - Development of a decentralized EV charging control framework that can accommodate EV drivers' local objectives and constraints
    - Distributed and hierarchical coordination to mitigate feeder impacts (in progress)
  - ▷ Achieving clean power system flexibility: Sensing, modeling, and optimal control (NSF CyberSEES)
    - Development and implementation of a reinforcement learning controller under the supervision of MPC for residential flexible electric loads facilitating renewable energy generation (collaborating with REstore and Itho Daalderop, Belgium)
    - Scalable data-driven models and control of electric loads (in progress)
    - Mitigation of under-over voltages which is an indirect mean to balance demand supply locally (in progress)
- **University of Victoria** Victoria, BC, Canada  
*Research Assistant, Applied Control & Information Processing Laboratory and Institute for Integrated Energy Systems* *Sept. 2010 – Apr. 2016*

- ▷ Aggregation and charging control of EVs
  - Development of a novel aggregation and control framework that well positions EVs in cyber-physical systems
  - Establishment of a charging-index based control paradigm for the provision of valley-filling
- ▷ Modeling and control of thermostatically controlled loads (TCLs) for demand response
  - Development of direct and stochastic aggregation models for a large population of TCLs
  - Development of centralized and cooperative distributed MPC (C-DMPC) for regulation services under device lockout effects
  - Development of practical control dispatching approaches for proposed control schemes
- ▷ Operation strategy design for combined cooling, heating, and power (CCHP) systems
  - Development of a balance-space-based operation strategy for CCHP systems
  - Development of an energy-hub model for CCHP systems and design of optimal operation strategies
  - Design and implementation of an OLS-TSRLS algorithm that accurately identifies the ARMAX short-term load forecasting model
- ▷ Networked control systems (NCSs)
  - Design of T-S fuzzy  $\mathcal{H}_2$  and  $\mathcal{H}_\infty$  filters for nonlinear sampled-data system considering both input and output time delays
  - Design of  $\mathcal{H}_\infty$  switched filtering for NCSs
  - Development of  $\mathcal{H}_\infty$  tracking controller for nonlinear NCSs

## Honors/Awards

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|--|-----------|
| • IEEE Transactions on Smart Grid Best Reviewer of 2018  | 2018      |
| • Nominee of Governor General's Gold Medal   | 2017      |
| • Natural Sciences and Engineering Research Council of Canada (NSERC) Postdoctoral Fellowship (PDF) (\$90,000) | 2016-2018 |
| • NSERC Postgraduate Scholarship–Doctoral (PGS–D) (\$42,000)   | 2014-2016 |
| • Howard E. Petch Research Scholarship (\$15,000)  | 2014-2016 |
| • Nominee of David H. Turpin Research Scholarship  | 2015      |
| • Nominee of David F. Strong Research Scholarship  | 2015      |
| • IEEE Control Systems Society Student Travel Support (\$1,000)  | 2015      |
| • University of Victoria Travel Grant (\$600)  | 2015      |
| • 3MT <sup>®</sup> Finalist and 1st place in Engineering Heat (\$300)  | 2015      |
| • President's Research Scholarship (\$4,000)   | 2014      |
| • University of Victoria Travel Grant (\$600)  | 2014      |
| • Albert Hung Chao Hong Scholarship (\$3,500)  | 2013      |
| • Melva J. Hanson Graduate Scholarship (\$6,700)   | 2013      |
| • Nominee of Lieutenant Governor's Silver Medal for Outstanding M.A.Sc. Thesis                                 | 2013      |

- Charles S. Humphrey Graduate Student Award (\$2,250) 2012
- University of Victoria Graduate Award (First Class \$5,000) 2012
- University of Victoria Travel Grant (\$500) 2012
- University of Victoria Graduate Award (First Class \$5,000) 2010
- China National Petroleum Corporation (CNPC) Scholarship for Excellent Student (\$600) 2008
- Merit Student Scholarship 2007
- First place in Harbin Institute of Technology Scholarship (4 times) 2006-2010
- Harbin Institute of Technology Special Scholarship (5 times) 2006-2010

## Teaching Experiences

- **University of Utah** Salt Lake City, UT, USA  
*Instructor, Electrical & Computer Engineering* 2019 Spring  
 ▷ ECE 6960: Introduction to Model Predictive Control
- **University of California, Berkeley** Berkeley, CA, USA  
*Lecturer, Energy & Resources Group* 2016 Fall  
 ▷ ER 292A: Tools of the Trade · Evaluation: 6.75/7
- **University of Victoria** Victoria, BC, Canada  
*Lecturer, Department of Mechanical Engineering* 2013 Fall & Oct. 2011  
 ▷ MECH 380: Automatic Control Engineering · Evaluation: 4.54/5  
*Teaching Assistant and Lab Instructor, Department of Mechanical Engineering* 2012 Fall & 2011 Fall  
 ▷ MECH 380: Automatic Control Engineering  
*Teaching Assistant and Lab Instructor, Department of Mechanical Engineering* 2014 Spring & 2013 Spring  
 ▷ MECH 458: Mechatronics

## Mentorship

- **University of California, Berkeley** Berkeley, CA, USA  
 Phillippe K. Phanivong, M.A., Energy & Resources Group 2016 Fall – present

## Publications

- **Books**  
 [B1] Y. Shi, M. Liu, and F. Fang, *Combined Cooling, Heating, and Power Systems: Modeling, Optimization, and Operation*, John Wiley & Sons, Aug. 2017, ISBN: 978-1-119-28335-5.
- **Refereed journal papers that have been published/accepted for publication**

- [J1] **M. Liu**, B. Claessens, and D. S. Callaway, "Trajectory tracking with an aggregation of domestic hot water heaters: Combining model-based and model-free control in a commercial deployment," *IEEE Transactions on Smart Grid*, accepted, 2018.
- [J2] **M. Liu**, P. K. Phanivong, Y. Shi, and D. S. Callaway, "Decentralized charging control of electric vehicles in residential distribution networks," *IEEE Transactions on Control Systems Technology*, doi: 10.1109/TCST.2017.2771307, 2017.
- [J3] X. Liu, **M. Liu**, and Y. Shi, "Event triggered model predictive control: A less conservative result," *Journal of the Franklin Institute*, in press, doi: 10.1016/j.jfranklin.2016.10.040, 2016
- [J4] **M. Liu**, Y. Shi, and H. Gao, "Aggregation and charging control of PHEVs in smart grid: A cyber-physical perspective," *Proceedings of the IEEE*, vol. 104, no. 5, pp. 1071-1085, 2016.
- [J5] **M. Liu** and Y. Shi, "Model predictive control for thermostatically controlled appliances providing balancing service," *IEEE Transactions on Control Systems Technology*, vol. 24, no. 6, pp. 2082-2093, 2016.
- [J6] **M. Liu**, Y. Shi, and X. Liu, "Distributed MPC of aggregated heterogeneous thermostatically controlled loads in smart grid," *IEEE Transactions on Industrial Electronics*, vol. 63, no. 2, pp. 1120-1129, 2016.
- [J7] **M. Liu** and Y. Shi, "Model predictive control of aggregated heterogeneous second-order thermostatically controlled loads for ancillary services," *IEEE Transactions on Power Systems*, vol. 31, no. 3, pp. 1963-1971, 2016.
- [J8] **M. Liu**, Y. Shi and F. Fang, "Load forecasting and operation strategy design for CCHP systems using forecasted loads," *IEEE Transactions on Control Systems Technology*, vol. 23, no. 5, pp. 1672-1684, 2015.
- [J9] **M. Liu**, Y. Shi and F. Fang, "Combined cooling, heating and power systems: A survey," *Renewable & Sustainable Energy Reviews*, vol. 35, pp. 1-22, 2014.
- [J10] **M. Liu**, Y. Shi and X. Liu, "T-S fuzzy-model-based  $\mathcal{H}_2$  and  $\mathcal{H}_\infty$  filtering for networked control systems with two-channel Markovian random delays," *Digital Signal Processing*, vol. 27, pp. 167-174, 2014.
- [J11] H. Zhang, **M. Liu**, J. Sheng, and Y. Shi, "Extended LMI representatives for stability and stabilization of discrete-time Takagi-Sugeno fuzzy systems," *Optimal Control Applications and Methods*, vol. 35, no. 6, pp. 647-655, 2014.
- [J12] **M. Liu**, Y. Shi and F. Fang, "Optimal power flow and PGU capacity of CCHP systems using a matrix approach," *Applied Energy*, vol. 102, pp. 794-802, 2013.
- [J13] H. Zhang, Y. Shi and **M. Liu**, " $\mathcal{H}_\infty$  step tracking control for networked discrete-time nonlinear systems with integral and predictive actions," *IEEE Transactions on Industrial Informatics*, vol. 9, no. 1, pp. 337-345, 2013.
- [J14] H. Zhang, Y. Shi and **M. Liu**, " $\mathcal{H}_\infty$  switched filtering for networked systems based on delay occurrence probabilities," *ASME Journal of Dynamic Systems, Measurement, and Control*, vol. 135, no. 6, pp. 061002, 2013.
- [J15] **M. Liu**, Y. Shi, F. Fang, "A new operation strategy for CCHP systems with hybrid chillers," *Applied Energy*, vol. 95, pp. 164-173, 2012.

- **Refereed conference papers that are under review**

[C1] **M. Liu**, “Chance-constrained shrunken-primal-dual subgradient (CC-SPDS) approach for decentralized electric vehicle charging control,” submitted to *IEEE PES Innovative Smart Grid Technology Asia*, 2019.

- **Refereed conference papers that have been accepted or published**

[C2] **M. Liu**, P. K. Phanivong, and D. S. Callaway, “Customer- and network-aware decentralized EV charging control,” in *Proceedings of Power Systems Computation Conference*, Dublin, Ireland, June 11–15, 2018.

[C3] **M. Liu**, P. K. Phanivong, and D. S. Callaway, “Electric vehicle charging control in residential distribution network: A decentralized event-driven realization,” in *Proceedings of IEEE Conference on Decision & Control*, Melbourne, Australia, December 12–15, 2017.

[C4] **M. Liu** and Y. Shi, “Optimal control of aggregated heterogeneous thermostatically controlled loads for regulation services,” in *Proceedings of IEEE Conference on Decision & Control*, Osaka, Japan, December 15–18, 2015.

[C5] **M. Liu** and Y. Shi, “Distributed model predictive control of thermostatically controlled appliances for providing load balancing service,” in *Proceedings of IEEE Conference on Decision & Control*, Los Angeles, California, USA, December 15–17, 2014.

[C6] **M. Liu** and Y. Shi, “An energy efficient optimal operation strategy design for CCHP systems,” in *Proceedings of CSME International Congress*, Winnipeg, Manitoba, Canada, June 4–6, 2012.

## Presentations

- **Talks**

- ▷ “Decentralized and Distributed Control and Optimization in Large-Scale Power Systems,” invited by *Department of Automation, Shanghai Jiaotong University*, Shanghai, China, 2018.
- ▷ “Decentralized and Distributed Control and Optimization in Large-Scale Power Systems,” invited by *China State Key Laboratory of Alternate Electrical Power System with Renewable Energy Sources*, Beijing, China, 2018.
- ▷ “Decentralized and Distributed Control and Optimization in Large-Scale Power Systems,” invited by *Transportation Science and Engineering Department, Beihang University*, Beijing, China, 2018.
- ▷ “Customer- and network-aware decentralized EV charging control,” in *Power System Computation Conference*, Dublin, Ireland, 2018.
- ▷ “Electric vehicle charging control in residential distribution network: A decentralized event-driven realization,” in *IEEE Conference on Decision & Control*, Melbourne, Australia, 2017.
- ▷ “Decentralized charging control of electric vehicles in residential distribution networks,” invited by *Department of Mechanical Engineering, University of Victoria*, Victoria, BC, Canada, 2017.
- ▷ “Decentralized charging control of electric vehicles in residential distribution networks,” invited by *Berkeley Energy & Climate Institute, University of California*, Berkeley, Berkeley, CA, USA, 2017.
- ▷ “Optimal control of aggregated heterogeneous thermostatically controlled loads for regulation services,” in *IEEE Conference on Decision & Control*, Osaka, Japan, 2015.
- ▷ “Make smart grid smarter,” in *UVic Graduate Student Orientation*, University of Victoria, Victoria, BC, Canada, 2015.

- ▷ “Make smart grid smarter,” in *3MT<sup>®</sup> Competition*, University of Victoria, Victoria, BC, Canada, 2015.
- ▷ “Distributed model predictive control of thermostatically controlled appliances for providing load balancing service,” in *IEEE Conference on Decision & Control*, Los Angeles, CA, USA, 2014.
- ▷ “An energy efficient optimal operation strategy design for CCHP systems,” in *CSME International Congress*, Winnipeg, MB, Canada, 2012.

- **Poster**

- ▷ “Modelling and control in demand response,” in *IESVic Alumni Workshop*, Victoria, BC, Canada, 2015.
- ▷ “A new optimal operation strategy for CCHP systems,” in *Canada–China Clean Energy Conference: Sustainable Transportation*, Victoria, BC, Canada, 2013.

## Professional Activities

- **Conference Chair for**

*2017 IEEE Conference on Decision and Control – Smart Grid I*

- **Associate Editor for**

**Conferences:**

*2019 IEEE International Symposium on Industrial Electronics*

*2017 IEEE International Conference on Control & Automation*

*2017 IEEE Conference on Control Technology & Applications*

*2017 International Conference on Intelligent Systems and Control*

- **Reviewer for**

**Journals:**

*IEEE Transactions on Industrial Electronics*

*IEEE Transactions on Control Systems Technology*

*IEEE Transactions on Power Systems*

*IEEE Transactions on Mechatronics*

*IEEE Transactions on Smart Grid*

*IEEE Transactions on Cybernetics*

*IEEE Transactions on Fuzzy Systems*

*Proceedings of the IEEE*

*IEEE Access*

*IEEE Power Engineering Letters*

*ASME Journal of Dynamic Systems, Measurement and Control*

*Journal of Mechanical Science and Technology*

*Circuits, Systems & Signal Processing*

*Journal of the Franklin Institute*

*Energies*

*Information Sciences*

*Journal of Control Science and Engineering*

*Journal of Modern Power System and Clear Energy*

*Journal of Electrical Power & Energy Systems*

*Journal of Environmental Informatics*  
*KSII Transactions on Internet and Information Systems*

**Conferences:**

*IEEE Conference on Decision and Control (CDC)*  
*American Control Conference (ACC)*  
*IEEE International Conference on Advanced Intelligent Mechatronics*  
*European Control Conference*  
*ASME Dynamic Systems and Control Conference*

• **Committee Member of**

Technical Committee on Industrial Cyber-Physical Systems: Industrial Engineering Society, IEEE, 2016-present.

Department Chair Search Committee: Department of Mechanical Engineering, University of Victoria, 2016.

Wighton Engineering Product Development Fund: Faculty of Engineering, University of Victoria, 2014.

• **Member of**

Institute of Electrical and Electronics Engineers (IEEE): *Member* of CSS, PES, and IES (since 2010)